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# Growth and spectroscopy of Er<sup>3+</sup>-doped Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> (5NaF·9YF<sub>3</sub>) crystal

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## ABSTRACT

We report on the growth, structure and spectroscopy of an Er<sup>3+</sup>-doped Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> (5NaF·9YF<sub>3</sub>) crystal featuring significant inhomogeneous spectral broadening. Single-crystals of Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> doped with 0.22 – 9.63 at.% Er<sup>3+</sup> were grown by the Czochralski method. Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> exhibits a cubic fluorite-type structure ( $a = 5.4881(2)$  Å for 5.59 at.% Er<sup>3+</sup> doping). The most intense Raman band of this material is found at ~404 cm<sup>-1</sup>. Er<sup>3+</sup> ions in Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> exhibit a broad and smooth emission band owing to the <sup>4</sup>I<sub>1/2</sub> → <sup>4</sup>I<sub>13/2</sub> transition with a maximum stimulated-emission cross-section of 0.42×10<sup>-20</sup> cm<sup>2</sup> at 2708 nm. According to the Judd-Ofelt analysis, the radiative lifetime of the <sup>4</sup>I<sub>1/2</sub> multiplet is 10.0 ms and the luminescence branching ratio  $\beta(^4I_{1/2} \rightarrow ^4I_{13/2})$  is 17.6%. The luminescence lifetimes of the <sup>4</sup>I<sub>1/2</sub> and <sup>4</sup>I<sub>13/2</sub> Er<sup>3+</sup> states were studied as a function of the doping concentration. For 5.59 at.% Er doping, they are 7.72 ms and 6.69 ms, respectively, representing a favorable ratio for mid-infrared laser operation.

**Keywords:** fluoride crystals, sodium-yttrium fluoride, Czochralski method, erbium ions, optical spectroscopy.

## 1. INTRODUCTION

Crystalline materials formed in binary systems of alkali metal fluorides – rare-earth trifluorides have a good potential as laser host matrices. One example is the NaF – YF<sub>3</sub> binary system. Thoma *et al.* first studied this system and determined the existence of a cubic solid-solution with composition 5NaF·9YF<sub>3</sub> (Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub>) and relatively low melting point at 975 °C [1,2]. Generally, Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> is a member of a family of double sodium-yttrium fluoride solid-solution crystals with a general chemical formula of Na<sub>0.5-x</sub>Y<sub>0.5+x</sub>F<sub>2+2x</sub> [3]. Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystallizes in the cubic class exhibiting a fluorite-type structure (fluorite is the mineral form of CaF<sub>2</sub>), even though both components of the binary system (NaF, YF<sub>3</sub>) do not exhibit such a structure. The dopant rare-earth ions (RE<sup>3+</sup>) replace for the Y<sup>3+</sup> ones in the Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> lattice. The local symmetry of the surrounding of the Y<sup>3+</sup> ions (and thus also RE<sup>3+</sup> ones) is C<sub>4v</sub> [4]. There are three non-equivalent positions for fluorine anions (F<sup>-</sup>) in Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub>. Previous studies indicate that in Na<sub>0.5-x</sub>Y<sub>0.5+x</sub>F<sub>2+2x</sub> solid-solutions with  $x = 0 - 0.14$ , cuboctahedral clusters Y<sub>6</sub>F<sub>36-38</sub> are formed [5,6]. This induces a significant inhomogeneous spectral broadening for the dopant RE<sup>3+</sup> ions leading to smooth and broad absorption and emission spectra (a “glassy-like” spectral behavior) [7]. It is of great interest for broadly tunable and mode-locked lasers.

Erbium ions (Er<sup>3+</sup>) are attractive for laser emission in the mid-infrared, at 2.8 μm, according to the <sup>4</sup>I<sub>1/2</sub> → <sup>4</sup>I<sub>13/2</sub> electronic transition. ~2.8 μm lasers are of practical importance for laser surgery. Fluoride crystals are attractive for heavy doping with Er<sup>3+</sup> ions for mid-infrared laser applications [8-11]. Among them, Er:CaF<sub>2</sub> is of particular interest because of the profound rare-earth ion clustering leading to both i) efficient energy-transfer upconversion between the Er<sup>3+</sup> ions within clusters even at moderate doping levels and ii) broadband emission properties [11,12]. It would be interesting to explore the potential of Er<sup>3+</sup>-doped fluorite-type Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystals as laser gain media.

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So far, only a few studies were dedicated to rare-earth doped  $\text{Na}_{0.5-x}\text{Y}_{0.5+x}\text{F}_{2+2x}$  crystals as laser gain media. Tkachuk *et al.* reported on the mid-infrared laser operation of an  $\text{Er}^{3+}$ -doped  $\text{Na}_{0.4}\text{Y}_{0.6}\text{F}_{2.2}$  ( $2\text{NaF}\cdot 3\text{YF}_3$ ) crystal [13]. Under pumping by an InGaAs laser diode, an output power of 72 mW at 2.8  $\mu\text{m}$  was achieved with a slope efficiency of only 4%. Excited-state absorption of  $\text{Er}^{3+}$  ions in  $\text{Na}_5\text{Y}_9\text{F}_{32}$  crystals was studied in [14].

In the present work, we report on the growth, structural and spectroscopic study of a disordered  $\text{Er}^{3+}$ -doped  $\text{Na}_5\text{Y}_9\text{F}_{32}$  crystal promising for the development of  $\sim 2.8 \mu\text{m}$  lasers.

## 2. EXPERIMENTAL

### 2.1 Crystal growth

Single-crystals of  $\text{Na}_5\text{Y}_9\text{F}_{32}$  ( $5\text{NaF}\cdot 9\text{YF}_3$ ) doped with 0.2 – 10 at.%  $\text{Er}^{3+}$  (with respect to  $\text{Y}^{3+}$ , initial composition) were grown by the Czochralski (Cz) method. The growth charge was prepared from a stoichiometric mixture of NaF (purity: 2N),  $\text{YF}_3$  and  $\text{ErF}_3$  reagents. The rare-earth fluorides ( $\text{REF}_3$ ) were obtained via fluorination of the corresponding oxides ( $\text{RE}_2\text{O}_3$ , 4N) using an excess of  $\text{NH}_4\text{HF}_2$  solution under heating at 180  $^\circ\text{C}$  and the dry cakes were annealed at 650  $^\circ\text{C}$  for 4 h under Ar atmosphere to remove the residual  $\text{NH}_4\text{F}$  and moisture. The growth charge was well degassed in a vacuum furnace ( $10^{-5}$  mbar) by gradual heating up to 300  $^\circ\text{C}$ . The crucible was then heated to the temperature slightly higher than the melting point of  $\text{Na}_5\text{Y}_9\text{F}_{32}$  (975  $^\circ\text{C}$ ) under an Ar +  $\text{CF}_4$  atmosphere to ensure that the raw materials are completely molten. For the crystal growth, an oriented seed from undoped  $\text{Na}_5\text{Y}_9\text{F}_{32}$  was used. The pulling rate was 3 mm/h.

The as-grown crystals had a cylindrical shape with uniform cross-section ( $\Phi 12$ - 15 mm, length: 20 - 25 mm). All the crystals, except the one with the highest doping (10 at.%  $\text{Er}^{3+}$ ), were transparent and free of cracks and inclusions. The crystals had a rose coloration due to the  $\text{Er}^{3+}$  dopant. The actual  $\text{Er}^{3+}$  doping level was determined by atomic emission spectroscopy (AES): five crystals doped with 0.22, 1.16, 2.0, 5.59 and 9.63 at.%  $\text{Er}^{3+}$  were studied. E.g., for the 5.59 at.%  $\text{Er}^{3+}$ -doped crystal, the corresponding ion density  $N_{\text{Er}}$  was  $8.23 \times 10^{20}$  at./ $\text{cm}^3$ .



Figure 1. A photograph of a polished 2.0 at.%  $\text{Er}:\text{Na}_5\text{Y}_9\text{F}_{32}$  crystal.

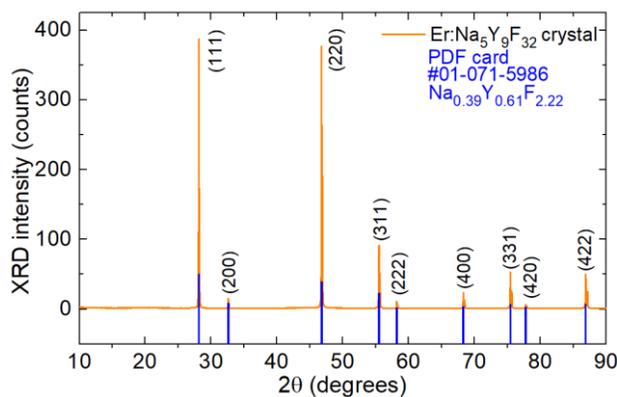


Figure 2. X-ray powder diffraction (XRD) pattern of a 5.59 at.%  $\text{Er}:\text{Na}_5\text{Y}_9\text{F}_{32}$  crystal, blue peaks – theoretical reflections for undoped  $\text{Na}_{0.39}\text{Y}_{0.61}\text{F}_{2.22}$  (PDF card #01-071-5986), numbers – Miller's indices ( $hkl$ ).

The phase purity and the crystal structure were confirmed by X-ray powder diffraction (XRD). The XRD pattern was measured with a D8-Advance diffractometer with a lynx-eye detector (opening 2.9463 $^\circ$ ) using Cu  $\text{K}\alpha 1$  ( $\lambda = 1.5418 \text{ \AA}$ ) radiation in the  $2\theta$  range of 10 – 90 $^\circ$  with a step size of 0.02 $^\circ$  and a step time of 2 s. The measured XRD pattern for the

5.59 at.% Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal is shown in Fig. 2. No other peaks except those belonging to the cubic phase (Na<sub>0.39</sub>Y<sub>0.61</sub>F<sub>2.22</sub>, ICSD card #01-071-5986) were found. The crystal structure of Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> was refined by the Rietveld method using the Topas software. Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> belongs to the cubic class (sp. gr. O<sup>5</sup><sub>h</sub> - *Fm-3m*, No. 225) being isostructural to CaF<sub>2</sub>. The calculated lattice constant  $a = 5.4881(2) \text{ \AA}$  (the number of formula units in the unit-cell  $Z = 4$ ), the volume of the unit-cell  $V = 165.299(3) \text{ \AA}^3$  and the calculated density  $\rho_{\text{calc}} = 3.888 \text{ g/cm}^3$ .

### 3. RESULTS AND DISCUSSION

#### 3.1 Raman spectra

The Raman spectra of an undoped and 0.22 at.% Er<sup>3+</sup>-doped Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystals were measured using a confocal microscope (inVia, Renishaw) equipped with a  $\times 50$  objective (Leica) and an Ar<sup>+</sup> ion laser (457, 488 nm), see Fig. 3. The Raman spectrum of the undoped Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal exhibits several broad poorly resolved bands with the maxima at 130, 229, 350, 404, 470 and 516 cm<sup>-1</sup>. The most intense band is found at 404 cm<sup>-1</sup>. For the 0.22 at.% Er<sup>3+</sup>-doped crystal, the Raman bands overlap with the Er<sup>3+</sup> luminescence. Despite this, a similar set of Raman-active modes is observed. The low phonon energy behavior of the Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> host matrix is advantageous for Er<sup>3+</sup> doping with the goal of observing mid-IR emission.

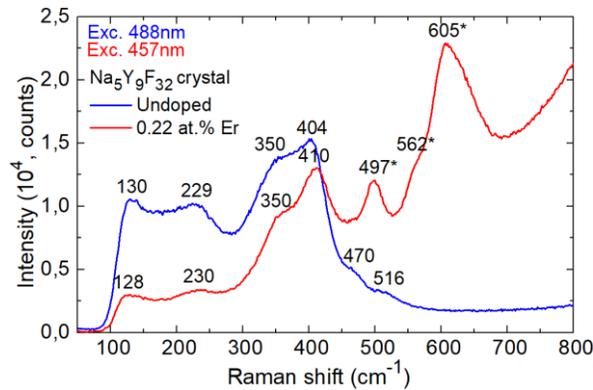


Figure 3. Unpolarized Raman spectra of undoped (blue) and 0.22 at.% Er doped (red) Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystals, numbers denote the Raman frequencies in cm<sup>-1</sup>.

#### 3.2 Optical absorption

The absorption spectrum of the 5.59 at.% Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal was measured using a spectrophotometer (Lambda 1050, Perkin Elmer), Fig. 4(a). The absorption bands are related to transitions of Er<sup>3+</sup> ions from the ground-state, <sup>4</sup>I<sub>15/2</sub>, to excited-states ranging from <sup>4</sup>I<sub>13/2</sub> up to <sup>2</sup>G<sub>7/2</sub>. Here, the assignment is after Carnall *et al.* [15].

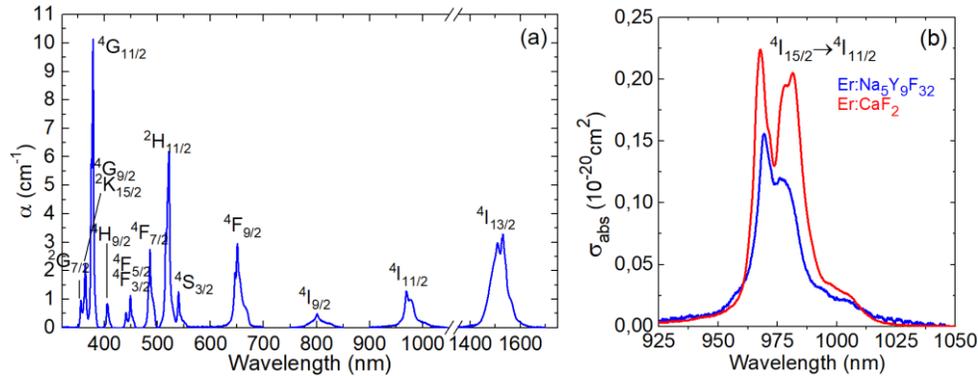


Figure 4. (a) An overview absorption spectrum of a 5.59 at.% Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal; (b) absorption cross-section spectra for the <sup>4</sup>I<sub>15/2</sub> → <sup>4</sup>I<sub>11/2</sub> transition of Er<sup>3+</sup> ions in the Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> and CaF<sub>2</sub> cubic crystals.

For the <sup>4</sup>I<sub>15/2</sub> → <sup>4</sup>I<sub>11/2</sub> transition which is typically used for pumping of Er<sup>3+</sup>-doped materials, e.g., by using commercial InGaAs laser diodes, the peak absorption cross-section ( $\sigma_{\text{abs}} = \alpha_{\text{abs}}/N_{\text{Er}}$ ) is  $0.16 \times 10^{-20} \text{ cm}^2$  at 969.3 nm corresponding to a

large absorption bandwidth (full width at half maximum) of ~18 nm, see Fig. 4(b). As compared to another cubic crystal exhibiting a “glassy-like” spectroscopic behavior, Er:CaF<sub>2</sub>, Fig. 4(b), Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> provides lower absorption cross-sections while less structured absorption band. The broadband absorption properties of Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> are of practical importance for diode-pumping.

The transition intensities of Er<sup>3+</sup> ions in Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> were determined based in the framework of the standard Judd-Ofelt (J-O) theory based on the measured absorption spectrum [16,17]. The obtained intensity parameters are  $\Omega_2 = 2.314$ ,  $\Omega_4 = 0.673$  and  $\Omega_6 = 1.002$  [10<sup>-20</sup> cm<sup>2</sup>]. Using these parameters, the probabilities of spontaneous radiative transitions  $A^{\Sigma}_{\text{calc}}(\text{JJ}')$ , the luminescence branching ratios  $\beta(\text{JJ}')$  and the radiative lifetimes of the excited-states  $\tau_{\text{rad}}$  were then calculated, Table 1. For the upper laser manifold (<sup>4</sup>I<sub>11/2</sub>), the radiative lifetime is as long as 9.99 ms and the luminescence branching ratio corresponding to emission in the mid-IR,  $\beta(^4\text{I}_{11/2} \rightarrow ^4\text{I}_{13/2})$  is relatively high, 17.6%.

Table 1. Probabilities of spontaneous radiative transitions of Er<sup>3+</sup> ions in Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> calculated using the standard J-O theory ( $\langle\lambda_{\text{em}}\rangle$  - mean emission wavelength,  $\beta(\text{JJ}')$  – luminescence branching ratios,  $A^{\Sigma}_{\text{calc}}(\text{JJ}')$  – probabilities of radiative transitions, ED: electric dipole, MD: magnetic dipole,  $A_{\text{tot}}$  – total probabilities,  $\tau_{\text{rad}}$  – radiative lifetimes).

Transition J → J'	$\langle\lambda_{\text{em}}\rangle$ , nm	$\beta(\text{JJ}')$	$A^{\Sigma}_{\text{calc}}(\text{JJ}')$ , s <sup>-1</sup>	$A_{\text{tot}}$ , s <sup>-1</sup>	$\tau_{\text{rad}}$ , ms	
<sup>4</sup> I <sub>13/2</sub> → <sup>4</sup> I <sub>15/2</sub>	1532.3	1.000	63.22 <sup>ED</sup> + 31.98 <sup>MD</sup>	95.20	10.50	
<sup>4</sup> I <sub>11/2</sub> →	<sup>4</sup> I <sub>13/2</sub>	2745.7	0.176	10.48 <sup>ED</sup> + 7.12 <sup>MD</sup>	100.05	9.99
	<sup>4</sup> I <sub>15/2</sub>	983.5	0.824	82.45 <sup>ED</sup>		

### 3.3 Mid-infrared luminescence

The spectra of mid-IR luminescence were measured using an optical spectrum analyzer (Yokogawa AQ6376E) purged with N<sub>2</sub> gas to diminish the effect of the structured water vapor absorption in air and a zirconium fluoride (ZrF<sub>4</sub>) fiber. As an excitation source, we used a Ti:Sapphire laser tuned to 970 nm. The stimulated-emission (SE) cross-sections,  $\sigma_{\text{SE}}$ , for the <sup>4</sup>I<sub>11/2</sub> → <sup>4</sup>I<sub>13/2</sub> transition of Er<sup>3+</sup> ions in the Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal were calculated using the Füchtbauer–Ladensburg (F-L) formula [18]:

$$\sigma_{\text{SE}}(\lambda) = \frac{\lambda^5}{8\pi \langle n \rangle^2 \tau_{\text{rad}} c} \frac{\beta(\text{JJ}') W'(\lambda)}{\int \lambda W'(\lambda) d\lambda},$$

where,  $\lambda$  is the light wavelength,  $\langle n \rangle$  is the refractive index at the mean emission wavelength  $\langle\lambda_{\text{em}}\rangle$ ,  $\tau_{\text{rad}}$  is the radiative lifetime of the emitting state (<sup>4</sup>I<sub>11/2</sub>) and  $\beta(\text{JJ}')$  is the branching ratio,  $c$  is the speed of light and  $W'(\lambda)$  is the luminescence spectrum corrected for the spectral response of the set-up. For the Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal,  $\langle n \rangle = 1.470$ ,  $\tau_{\text{rad}} = 9.99$  ms and  $\beta(\text{JJ}') = 17.6\%$ , according to the Judd-Ofelt analysis, cf. Table 1.

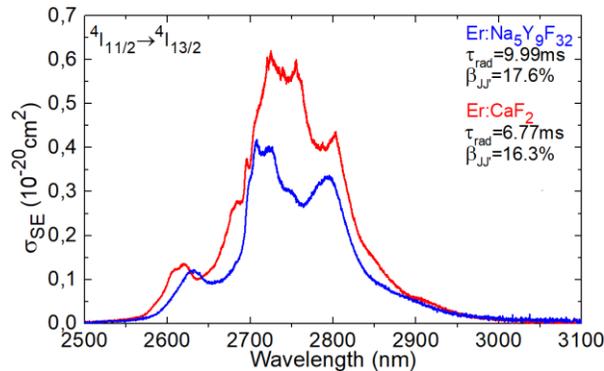


Figure 5. Stimulated-emission (SE) cross-sections,  $\sigma_{\text{SE}}$ , for the <sup>4</sup>I<sub>11/2</sub> → <sup>4</sup>I<sub>13/2</sub> transition of Er<sup>3+</sup> ions in the Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> and CaF<sub>2</sub> cubic crystals.

The calculated  $\sigma_{\text{SE}}$  spectrum for the Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal is shown in Fig. 5. This material exhibits a broad and smooth emission band (a “glassy”-like behavior) spanning from 2.55 to 3.05  $\mu\text{m}$  with a maximum  $\sigma_{\text{SE}} = 0.42 \times 10^{-20}$  cm<sup>2</sup> at 2708

nm and another intense peak at a longer wavelength, 2795 nm ( $\sigma_{SE} = 0.33 \times 10^{-20} \text{ cm}^2$ ). For comparison, in the same figure, we show the  $\sigma_{SE}$  spectrum for the Er:CaF<sub>2</sub> crystal. The spectra of both crystals are similar in shape. Due to the longer radiative lifetime of the  $^4I_{11/2}$  Er<sup>3+</sup> state in Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub>, the absolute values of SE cross-sections for this material are lower than those for Er:CaF<sub>2</sub>.

### 3.4 Luminescence dynamics

The luminescence dynamics was studied employing a ns optical parametric oscillator (Horizon, Continuum), a 1/4 m monochromator (Oriel 77200), a fast InGaAs detector and an 8 GHz digital oscilloscope (DSA70804B, Tektronix). The decay curves of luminescence from the  $^4I_{11/2}$  and  $^4I_{13/2}$  states of Er<sup>3+</sup> ions in the 5.59 at.% Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal measured under resonant excitation are shown in Fig. 6 plotted in a semi-log scale. The luminescence decay was studied using finely powdered crystal samples to reduce the effect of radiation trapping (reabsorption). The luminescence decay times  $\tau_{lum}$  amount to 7.72 ms ( $^4I_{11/2}$ ) and 6.69 ms ( $^4I_{13/2}$ ), representing a favorable ratio for mid-IR laser operation.

Table 2 summarizes the  $\tau_{lum}$  values as a function of the Er<sup>3+</sup> doping concentration. At a very low doping level (0.22 at.% Er<sup>3+</sup>), the lifetime of the lowest excited-state,  $^4I_{13/2}$ , is close to the radiative value determined using the J-O theory. For the next excited state,  $^4I_{11/2}$ , the  $\tau_{lum}$  values is relatively close to the radiative lifetime indicating a weak non-radiative relaxation in agreement with the low phonon energy behavior of the host matrix. Upon Er<sup>3+</sup> doping, the  $^4I_{13/2}$  lifetime decreases fast while the  $^4I_{11/2}$  one remains nearly constant. This highlights the potential of highly Er<sup>3+</sup>-doped Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystals for laser operation in the mid-IR. Considering the deteriorated optical quality of 10 at.% Er<sup>3+</sup>-doped crystals, the doping levels about 4 – 7 at.% appear to be promising for laser experiments.

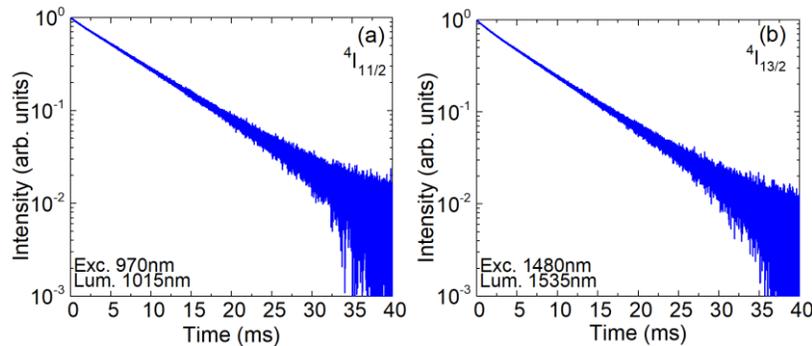


Figure 6. Luminescence decay curves for Er<sup>3+</sup> ions in an 5.59 at.% Er:Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> crystal: (a) decay from the  $^4I_{11/2}$  state,  $\lambda_{exc} = 970 \text{ nm}$ ,  $\lambda_{lum} = 1015 \text{ nm}$ ; (b) decay from the  $^4I_{13/2}$  state,  $\lambda_{exc} = 1480 \text{ nm}$ ,  $\lambda_{lum} = 1535 \text{ nm}$ .

Table 2. Luminescence decay lifetimes  $\tau_{lum}$  of the  $^4I_{11/2}$  and  $^4I_{13/2}$  Er<sup>3+</sup> manifolds in Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> as a function of doping level.

Er content (at.%)	0.22	1.16	2	5.59	9.63
$\tau_{lum}(^4I_{11/2})$ , ms	6.78	6.55	6.65	7.72	6.41
$\tau_{lum}(^4I_{13/2})$ , ms	10.51	10.20	8.53	6.69	3.79

## 4. CONCLUSIONS

To conclude, Er<sup>3+</sup>-doped Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> (5NaF·9YF<sub>3</sub>) fluoride crystal is an attractive material for mid-infrared lasers emitting around 2.8  $\mu\text{m}$  according to the  $^4I_{11/2} \rightarrow ^4I_{13/2}$  transition. This crystal possesses a cubic (fluorite-type) structure. The Er<sup>3+</sup> ions in Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> exhibit significant inhomogeneous spectral broadening leading to broad and smooth (“glassy-like”) absorption and emission spectral bands. The low-phonon-energy behavior of the host matrix (the most intense Raman band for undoped Na<sub>5</sub>Y<sub>9</sub>F<sub>32</sub> is found at  $\sim 404 \text{ cm}^{-1}$ ) determines relatively long luminescence lifetimes of the upper laser manifold ( $^4I_{11/2}$ ), about 6-7 ms. A favorable ratio of the upper-to-lower laser level lifetimes is reached already at moderate Er<sup>3+</sup> doping levels ( $\sim 5 \text{ at.}\%$ ).

## 5. ACKNOWLEDGEMENTS

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